

Automated versus Manual Oxygen Control with Different Saturation Targets and Modes of Respiratory Support in Preterm Infants

Anton H. van Kaam, MD, PhD¹, Helmut D. Hummler, MD², Maria Wilinska, MD, PhD³, Janusz Swietlinski, MD, PhD, DSc⁴, Mithilesh K. Lal, FRCPCH⁵, Arjan B. te Pas, MD, PhD⁶, Gianluca Lista, MD⁷, Samir Gupta, MD⁸, Carlos A. Fajardo, MD⁹, Wes Onland, MD, PhD¹, Markus Waitz, MD², Malgorzata Warakomska, MD³, Francesco Cavigioli, MD⁷, Eduardo Bancalari, MD¹⁰, Nelson Claire, MSc, PhD¹⁰, and Thomas E. Bachman, MSc^{11,12}

Objective To determine the efficacy and safety of automated adjustment of the fraction of inspired oxygen (FiO₂) in maintaining arterial oxygen saturation (SpO₂) within a higher (91%-95%) and a lower (89%-93%) target range in preterm infants.

Study design Eighty preterm infants (gestational age [median]: 26 weeks, age [median] 18 days) on noninvasive (n = 50) and invasive (n = 30) respiratory support with supplemental oxygen, were first randomized to one of the SpO₂ target ranges and then treated with automated FiO₂ (A-FiO₂) and manual FiO₂ (M-FiO₂) oxygen control for 24 hours each, in random sequence.

Results The percent time within the target range was higher during A-FiO₂ compared with M-FiO₂ control. This effect was more pronounced in the lower SpO₂ target range (62 ± 17% vs 54 ± 16%, *P* < .001) than in the higher SpO₂ target range (62 ± 17% vs 58 ± 15%, *P* < .001). The percent time spent below the target or in hypoxemia (SpO₂ < 80%) was consistently reduced during A-FiO₂, independent of the target range. The time spent above the target range or at extreme hyperoxemia (SpO₂ > 98%) was only reduced during A-FiO₂ when targeting the lower SpO₂ range (89%-93%). These outcomes did not differ between infants on noninvasive and invasive respiratory support. Manual adjustments were significantly reduced during A-FiO₂ control.

Conclusions A-FiO₂ control improved SpO₂ targeting across different SpO₂ ranges and reduced hypoxemia in preterm infants on noninvasive and invasive respiratory support. (*J Pediatr* 2015; ■: ■ - ■).

Trial registration ISRCTN 56626482.

Extremely preterm infants often require supplemental oxygen to ensure adequate oxygen delivery to the tissues. The fraction of inspired oxygen (FiO₂) is usually titrated on the basis of the arterial oxygen saturation (SpO₂) measured with pulse oximetry. In addition to targeting normoxemia, avoiding both hypoxemia and hyperoxemia are important goals during oxygen supplementation, as these conditions are associated with, respectively, an increased risk of mortality and retinopathy of prematurity.^{1,2} However, SpO₂ control during routine care by manually adjusting the FiO₂ is a challenging task that is often not successful. In fact, infants receiving supplemental oxygen spend approximately 50% of the time within, 30% of the time above, and 20% of the time below the intended SpO₂ range.^{3,4}

With the purpose of improving oxygen targeting, an algorithm for automated adjustments of FiO₂ in response to changes in SpO₂ was developed and incorporated into a standard neonatal ventilator. This algorithm improves maintenance of oxygenation within an intended SpO₂ range compared with routine and even dedicated manual FiO₂ (M-FiO₂) control.⁵⁻⁷ Studies have focused on oxygen dependent, mechanically ventilated infants with frequent episodes of hypoxemia using a single and relatively wide SpO₂ target range.

Our objective was to assess the efficacy of automated FiO₂ (A-FiO₂) control in targeting 2 different, relatively narrow SpO₂ target ranges in more stable, oxygen-dependent infants on both invasive and noninvasive respiratory support. We hypothesized that A-FiO₂ control would increase the time within the SpO₂ target range and reduce both hypoxemia and hyperoxemia compared with

From the ¹Emma Children's Hospital AMC, Amsterdam, The Netherlands; ²University Medical Center, Ulm, Germany; ³The Medical Center of Postgraduate Education, Warsaw, Poland; ⁴Silesian Institute Mother and Newborn, Chorzow, Poland; ⁵James Cook University Hospital, Middlesbrough, United Kingdom; ⁶Leiden University Medical Center, Leiden, The Netherlands; ⁷Vittore Buzzi Children's Hospital, Milano, Italy; ⁸University Hospital North Tees, Stockton, Cleveland, United Kingdom; ⁹Alberta Children's Hospital, Calgary, Canada; ¹⁰University of Miami, Miami, FL; ¹¹Czech Technical University in Prague, Prague, Czech Republic; and ¹²Economedtrix, Lake Arrowhead, CA

CareFusion provided equipment support in the form of ventilator loans at three centers; funding to Economedtrix (a research consultancy owned by T.B.), for coordination of the study, database management, and data analysis; and supported a consultancy from an independent biostatistician. CareFusion was not involved in data analysis, interpretation of the results, or drafting of the manuscript. The AVEA ventilators at the Polish sites were donated by the Great Orchestra of Christmas Charity. The algorithm for Closed Loop FiO₂ was developed and patented by N.C. and E.B.; the University of Miami, the assignee for this patent, has a licensing agreement with CareFusion. N.C. and E.B. did not participate in the enrollment, collection or analysis of the data. The other authors declare no conflicts of interest.

A-FiO ₂	Automated FiO ₂
FiO ₂	Fraction of inspired oxygen
M-FiO ₂	Manual FiO ₂
SpO ₂	Arterial oxygen saturation

0022-3476/\$ - see front matter. Copyright © 2015 Elsevier Inc. All rights reserved.

<http://dx.doi.org/10.1016/j.jpeds.2015.06.012>

M-FiO₂ control by the clinical staff during routine care, independent of the SpO₂ target range and mode of respiratory support.

Methods

This study was designed as a multicenter, randomized, cross-over clinical trial in 8 European and 1 Canadian level III and IV neonatal intensive care units. The study was approved by institutional review boards in each institution, with written parental informed consent.

Oxygen-dependent preterm infants born with a gestational age <33 weeks and weighing between 0.4 and 4 kg at the time of the study, receiving invasive mechanical ventilation or noninvasive respiratory support were considered eligible for the study. Infants with major congenital anomalies, hemodynamic instability requiring vasopressor treatment or inhaled nitric oxide, or culture proven sepsis within 72 hours prior of enrollment were excluded.

The study consisted of 2 consecutive periods where each enrolled infant was treated with both A-FiO₂ and M-FiO₂ control for 24 hours each. Randomization was done in 2 levels, using sequentially numbered opaque sealed envelopes. First, infants were randomized to 1 of 2 SpO₂ target ranges (89%-93% or 91%-95%), which was maintained for the entire 48-hour study period. Second, infants were randomly assigned to 1 of 2 sequences (A-FiO₂/M-FiO₂ or M-FiO₂/A-FiO₂). The randomization procedure was separate for infants on noninvasive support and those mechanically ventilated and in blocks by center.

Respiratory support was with ventilators (Avea; CareFusion, Yorba Linda, California) with a built-in A-FiO₂ adjustment function. A neonatal pulse oximeter (Radical; Masimo Corporation, Irvine, California) integrated in the ventilator was used to measure SpO₂ (normal sensitivity, averaging time 8 seconds) during both A-FiO₂ and M-FiO₂. This system is approved for clinical use in the countries where the study was conducted.

A-FiO₂ Control

At the start, the A-FiO₂ function adopts the current FiO₂ as the baseline-FiO₂. This is the FiO₂ necessary to keep SpO₂ within the intended target range during relatively stable conditions. Over time, the baseline FiO₂ is slowly and automatically updated, reflecting the trend of the FiO₂ needed to maintain the SpO₂ within the target range. The actual delivered FiO₂ is automatically adjusted (up to once every second) in response to changes in SpO₂. The magnitude and rate of FiO₂ changes are determined by the difference between the actual SpO₂ and the target range, the time outside the range, the SpO₂ trend, and the baseline FiO₂. If deemed clinically necessary, the nursing staff could increase the delivered A-FiO₂ manually by 0.20 for a maximum time of 2 minutes.

SpO₂ alarms were set at 1% below and 1% above the target range with a delay time of 30 seconds. The high SpO₂ alarm could be disabled if the FiO₂ reached 0.21 and the SpO₂

remained within or above the assigned target range for more than 30 minutes. Under these circumstances, the low FiO₂ alarm could also be disabled. If FiO₂ increased again, both alarms were reinstated. The high FiO₂ alarm was set at 1.0 and, in addition, an alarm was activated when the baseline FiO₂ increased by 0.30. In the event of poor SpO₂ signal quality or signal loss, the automated system assumed a fail-safe state, and the FiO₂ was set at the highest of the following: the current baseline-FiO₂, the median FiO₂ of the preceding 15 seconds, and the backup-FiO₂ level set by the operator.

M-FiO₂ Control

Manual adjustments of the FiO₂ to maintain the SpO₂ target range were done as part of routine care by the attending nursing staff. To limit personnel or center-dependent variability study specific guidelines for M-FiO₂ adjustments were given to the clinical staff ([Appendix](#); available at www.jpeds.com). SpO₂ alarm settings were the same as those for the A-FiO₂ period.

Exit Criteria

Infants were to exit the study if they met any of the following criteria: withdrawal of parental consent, transition from invasive to noninvasive respiratory support or vice versa during the study, meeting one of the exclusion criteria during the study period, the attending physician decided it was in the best interest of the patient to exit the study, discharge, transfer to another hospital, or death.

Data and Safety Monitoring

A 3-member independent data and safety monitoring board reviewed the study at 50% enrollment and could recommend termination if adverse events suggested undue risk, the main endpoint was significantly worse during A-FiO₂, futility was demonstrated, or protocol violations compromised scientific validity. A clinical monitor oversaw compliance with the protocol and human subject research and regulatory rules. The monitor reviewed the uploaded data from each individual patient and provided periodic feedback to the sites on protocol compliance and achievement of the SpO₂ targets during manual control. Protocol deviations monitored during the study included but were not limited to inappropriate setting of the A-FiO₂ function, SpO₂ targets, monitoring alarms, or incorrect sequence of FiO₂ control mode.

Data Collection and Analyses

Demographic data including gestational age, birth weight, sex, postnatal age, and weight were collected at time of enrollment. Ventilator settings and monitored variables including SpO₂, FiO₂, pulse rate, and alarms were recorded every 5 seconds by an electronic data logger. In addition, the nurse:patient and patient care procedures that could impact SpO₂ stability were documented. The electronic data were analyzed off-line for each infant for both 24-hour periods using study dedicated software without operator intervention.

The primary endpoint was defined as the proportion of time with SpO₂ within the assigned target range plus the

time spent above this target while FiO₂ was set at 0.21. Secondary endpoints included the proportion of time below or above the SpO₂ target range, the frequency of prolonged episodes (>1 minute) with SpO₂ <80%, <70%, and >98% (excluding time when the FiO₂ was 0.21). In addition, the analysis software counted the number of episodes with SpO₂ <80% (for at least 10 seconds) that were followed by a SpO₂ overshoot during both automated and manual control. An overshoot was defined as SpO₂ readings above the target range for at least 60 seconds over the 2 minutes following the desaturation. Histograms and median SpO₂ and mean FiO₂ levels during each of the two 24-hour periods were calculated for every infant and averaged for all infants. We also calculated the number of M-FiO₂ adjustments during each 24-hour study period.

Sample Size

Based on a previous study showing an $8.2 \pm 9.3\%$ increase in the primary endpoint, an estimated enrollment of 80 infants would enable detection of at least a 4.2% difference in the primary endpoint between A-FiO₂ and M-FiO₂ with an alpha of 5% and a beta of 10%. The total enrollment of 80 infants for a sample size of 40 infants assigned to each of the 2 SpO₂ target ranges would enable detection of at least a 5.9% difference in the primary endpoint between A-FiO₂ and M-FiO₂ within each of 2 target range groups.

Statistical Analyses

Primary analysis of the entire study population used a linear mixed model with fixed effects for assigned target range with the intervention (A-FiO₂ and M-FiO₂) as a repeated measure. The linear mixed model with fixed effects was also used to explore the effects of mode of respiratory support and assigned sequence. Dependent variables with skewed distribution were log transformed to align with the distribution assumption of ANOVA. Post hoc analysis assessed the interaction of the intervention (A-FiO₂ and M-FiO₂) and the assigned target range.

Descriptive and secondary analyses included within-subject comparisons of A-FiO₂ vs M-FiO₂ by paired *t* tests or Wilcoxon signed rank test, depending on their distribution. Results are reported as mean and SD or median and IQR. These analyses were conducted using SAS v 9.3 (SAS Institute, Cary, North Carolina) and SigmaXL v 6.1 (SigmaXL, Inc, Toronto, Canada) statistical software. A *P* value of <.05 was considered statistically significant.

Results

Ninety-one infants were enrolled in the study between April 2013 and February 2014. Of these, 11 infants were excluded for the following reasons: electronic data logging failure (*n* = 2), change in respiratory support mode (*n* = 5), sepsis (*n* = 2), hemodynamic instability (*n* = 1), and need for inhaled nitric oxide (*n* = 1).

Eighty infants, of whom 30 received invasive mechanical ventilation and 50 noninvasive support, were included in

the final analysis (Table I). Ventilator settings during the 24-hour periods of M-FiO₂ and A-FiO₂ control were comparable. One patient was changed from nasal continuous positive airway pressure to nasal intermittent positive pressure ventilation.

Protocol deviations consisted mainly of inappropriate SpO₂ alarm setting. This occurred in 6 infants during M-FiO₂, in 1 infant during A-FiO₂, and in 17 infants during both M-FiO₂ and A-FiO₂. The randomization order was incorrectly applied in 1 infant. In 2 infants assigned to the higher SpO₂ target range (91%-95%), the target range was incorrectly set (90%-96% and 91%-98%) during A-FiO₂ control. In 1 patient, automated control was turned off for 2 hours. Most of these violations were transient and once noticed, corrected by the staff. In 6 patients, the alarm settings were incorrect for the entire 48-hour study period.

Multivariate analysis of the primary endpoint in all 80 infants showed that the proportion of time with SpO₂ within the target range was significantly higher during A-FiO₂ control ($62 \pm 17\%$) compared with M-FiO₂ control ($57 \pm 16\%$, *P* < .001). The time spent in hypoxemia (SpO₂ <80%) was significantly less during A-FiO₂ (0.9 [0.3-1.9] %) than during M-FiO₂ (2.2 [1.0-4.4] %, *P* < .001) control. The time spent in hyperoxemia (SpO₂ >98%) was also reduced during A-FiO₂ (0.4 [0.1-1.4] %) compared with M-FiO₂ control (0.9 [0.3-2.6] %, *P* < .05).

Although the time spent within the target range was significantly increased during A-FiO₂ control in both SpO₂ target ranges, the mean within subject difference was significantly (*P* < .05) larger in the 89%-93% target group ($\Delta 8\%$) compared with the 91%-95% target group ($\Delta 4\%$) (Table II).

The proportion of time spent above the target range was smaller during A-FiO₂ compared with M-FiO₂ control in the 89%-93% but not the 91%-95% SpO₂ target range. The proportion of time spent in severe hyperoxemia (SpO₂ >98%) and the number of episodes of prolonged (>1 minute) hyperoxemia were significantly reduced in favor of A-FiO₂ control in the infants assigned to the 89%-93%, but not the 91%-95% target range (Table II).

Table I. Patient characteristics

Variables	N = 80
Gestational age (wk)	26 (25-28)*
Birth weight (g)	794 (674-950)*
Male sex, no. (%)	38 (48)
Age at data collection (d)	18 (10-29)*
Noninvasive support mode, no. (%)	50 (63)
Mechanical ventilation mode, no. (%) mod (%) malformations	30 (37)
Nurse:patient	1:2 (1:2-1:3)*
FiO ₂	0.28 (0.25-0.35)
Number assigned to high/low SpO ₂ target	40/40
Number A-FiO ₂ /M-FiO ₂ during first 24 h	45/35

SpO₂ measured with pulse oximetry.

*Median and IQR.

Table II. Time and episodes of normoxemia, hypoxemia, and hyperoxemia for each SpO₂ target group

	SpO ₂ target 89%-93% (n = 40)		SpO ₂ target 91%-95% (n = 40)	
	M-FiO ₂ control	A-FiO ₂ control	M-FiO ₂ control	A-FiO ₂ control
% time in SpO ₂ range	54 ± 16	62 ± 17 [§]	58 ± 15	62 ± 17 ^{**}
% time >SpO ₂ range*	25 ± 10	21 ± 13 [§]	19 ± 8	22 ± 13
% time <SpO ₂ range	21 ± 8	17 ± 11 [¶]	23 ± 9	17 ± 10 [§]
% time SpO ₂ >98% [†]	0.7 (0.1-1.6)	0.2 (0.0-0.8) [§]	1.7 (0.7-4.3)	0.7 (0.2-2.1)
% time SpO ₂ <80% [†]	2.6 (1.0-4.3)	1.2 (0.2-2.1) [§]	2.0 (0.9-5.0)	0.8 (0.3-2.1) [§]
Episodes SpO ₂ >98%, >1 min (n/24 h) [†]	5 (1-13)	1 (0-4) [§]	10 (4-27)	4 (1-16)
Episodes SpO ₂ <80%, >1 min (n/24 h) [†]	15 (5-24)	4 (1-12) [§]	13 (3-24)	4 (1-11) [§]
Episodes SpO ₂ <70%, >1 min (n/24 h) [†]	1 (0-5)	0.5 (0-1) [¶]	2 (1-6)	0.5 (0-3) [§]
SpO ₂ (%) [‡]	91.8 ± 0.8	91.9 ± 1.3	93.5 ± 1.0	93.9 ± 1.0
FiO ₂	0.30 ± 0.09	0.31 ± 0.08	0.33 ± 0.09	0.35 ± 0.11 ^{**}

SpO₂ measured with pulse oximetry.

*Periods with FiO₂ = 0.21 excluded.

†Median and IQR.

‡Mean of the hourly medians.

§P < .001 vs M-FiO₂.

¶P < .01 vs M-FiO₂.

**P < .05 vs M-FiO₂.

In both high and low target range groups, the proportion of time spent with SpO₂ below the target range and the proportion of time in hypoxemia (SpO₂ <80%) were significantly reduced during A-FiO₂ compared with M-FiO₂ control. Furthermore, in both target range groups, the number of prolonged episodes of hypoxemia and severe hypoxemia (SpO₂ <70%) were significantly reduced during A-FiO₂.

The number of episodes of SpO₂ <80% that were followed by an SpO₂ overshoot did not differ between automated and manual control in the high (6 [2-16] vs 5 [1-14]) and low (8 [2-26] vs 7 [1-19]) SpO₂ target range.

The histograms of SpO₂ values during the manual and automatic control periods showed a left shift in the SpO₂ distribution in the lower compared with the higher target range group during both manual and automatic FiO₂ control (Figure).

The median SpO₂ values of the entire 24-hour period did not differ between A-FiO₂ and M-FiO₂ control in both SpO₂ target range groups (Table II). The median SpO₂ values were near the center of the assigned target range during both automatic and M-FiO₂ control periods.

In the higher SpO₂ target range group, the mean FiO₂ over the 24-hour period was slightly but consistently higher during A-FiO₂ control than during M-FiO₂ control. In contrast, mean FiO₂ values did not differ between A-FiO₂ and M-FiO₂ control in the low target range group (Table II).

The number of M-FiO₂ adjustments per 24 hours was significantly lower during A-FiO₂ control compared with M-FiO₂ in both the 89%-93% SpO₂ target range (1 [0-3] vs 102 [73-173], P < .001) and the 91%-95% SpO₂ target range (1 [0-3] vs 109 [79-156], P < .001).

There were no statistically significant effects associated with sequence of FiO₂ control. Furthermore, post hoc analyses revealed no differences in treatment effects of A-FiO₂ vs M-FiO₂ control in the subgroups of infants receiving noninvasive and invasive respiratory support (Tables III and IV; available at www.jpeds.com).

Discussion

Automated control of the FiO₂ in preterm infants receiving invasive and noninvasive respiratory support results in more time spent within the intended SpO₂ target range. This effect is present across different, relatively narrow SpO₂ target ranges and during both invasive and noninvasive respiratory support.

Our finding that A-FiO₂ control improves SpO₂ targeting is consistent with previous studies using the same and other automated systems.⁵⁻⁸ However, the treatment effect in terms of time spent within the SpO₂ target range is smaller than previously reported.^{5,7} This may be, in part, explained by the fact that previous studies only included infants on mechanical ventilation requiring supplemental oxygen and with frequent episodes of hypoxemia. In our study, eligibility was based solely on the need for supplemental oxygen, resulting in the inclusion of more stable infants as also reflected by higher mean gestational age and the lower FiO₂ at the time of the study. The increased stability probably also explains the relatively higher proportion of time within the intended SpO₂ target during both M-FiO₂ and A-FiO₂ control compared with previous studies. Furthermore, and in contrast to previous studies, the nursing staff received clear guidelines on how to titrate the FiO₂, which may have improved the efficacy of manual control.

Previous studies with the same automated system showed that improved SpO₂ targeting was mainly the result of a reduction in the time above the target range.⁵⁻⁷ In 2 of these studies, the time below the target range was increased during A-FiO₂ compared with M-FiO₂ control.^{6,7} Interestingly, in the present study A-FiO₂ significantly decreased the time below the target range compared with M-FiO₂ control, and the time above the SpO₂ target range during A-FiO₂ was similar or lower compared with M-FiO₂ control in the 91%-95% and 89%-93% target range group, respectively. Reassuringly, the proportion of time and the number of

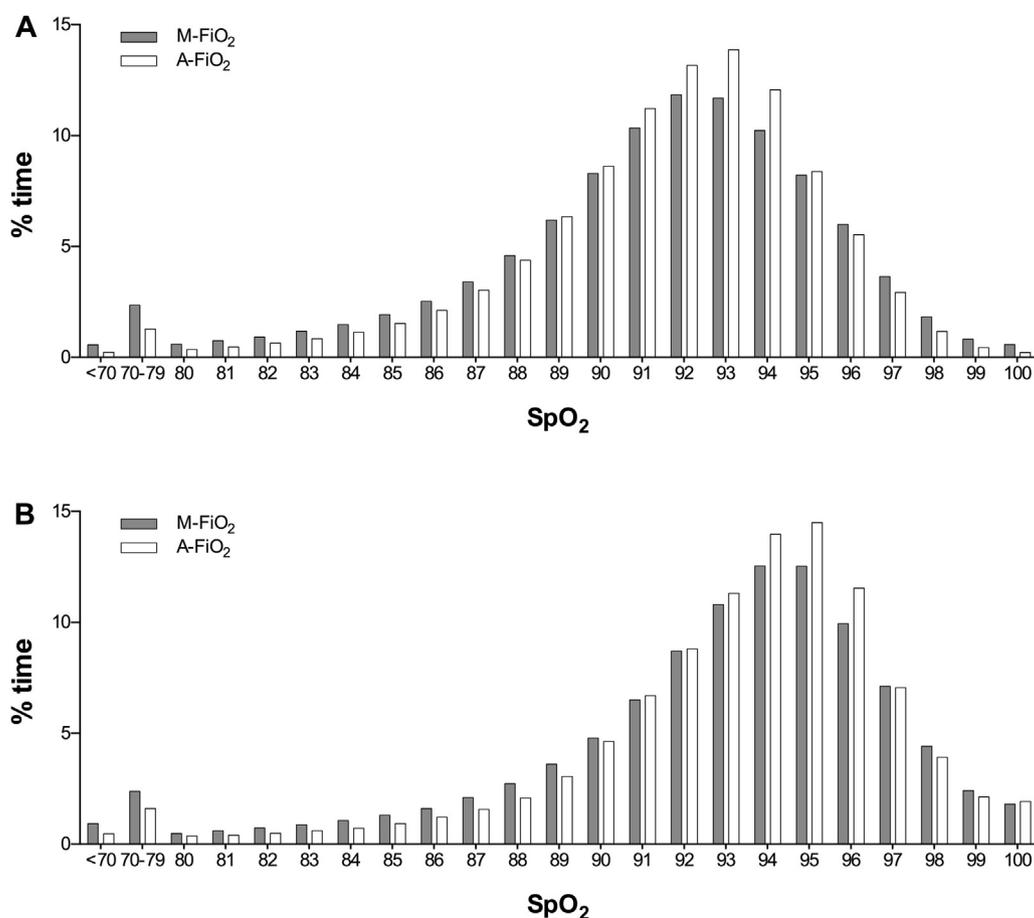


Figure. Histograms of SpO_2 measured with pulse oximetry during 24 hours of M-FiO₂ and A-FiO₂ control in the groups of infants assigned to the SpO_2 target range of **A**, 89%-93% and **B**, 91%-95%. Data obtained while receiving 21% inspired oxygen are included in the histograms.

episodes of severe hyperoxemia ($SpO_2 >98\%$) tended to be lower during A-FiO₂ control in both SpO_2 subgroups. Again, these differences with prior studies may be explained by the inclusion of more stable patients and the guidelines given to the nursing staff for manual control. The later may have resulted in more consistent weaning of FiO₂ in case of hyperoxemia compared with what would normally occur during routine care.

Although A-FiO₂ consistently increased the time within both target ranges, the treatment effect was more prominent in the lower SpO_2 target range ($\Delta 8\%$) than in the higher SpO_2 target range ($\Delta 4\%$) group. Interestingly, this difference in treatment effect appears to be caused by less effective M-FiO₂ control to keep the lower SpO_2 target range. A more detailed analysis showed that the reduced efficacy of manual control to keep the lower SpO_2 target range resulted from an increased time above the target range and in spite of the use of specific guidelines. This is in agreement with SpO_2 distribution data from recent trials on oxygen targeting, which showed a right sided tendency that is more evident in the lower target range groups.^{1,2,9} The reasons why it is harder to manually maintain a lower target range are not

entirely clear. Increased frequency of fluctuations in oxygenation with the lower SpO_2 target ranges has been reported.¹⁰ It is possible that the FiO₂ increases in response to hypoxemia might have been unnecessarily long resulting in hyperoxemia¹¹ or that some degree of tolerance of high SpO_2 existed during manual control in an attempt to prevent or attenuate the episodes of hypoxemia.

This study showed that keeping the higher target range required a higher FiO₂ and resulted in an increase in significant hyperoxemia, regardless of the method of FiO₂ control. Therefore, even a small change in target range, if carefully applied, results in potential clinically relevant increases in hyperoxemia and oxygen exposure. In the present study, this was particularly evident during M-FiO₂ control, and it is likely to be even more striking during routine care.

At first glance the improvement in SpO_2 targeting during A-FiO₂ might seem modest. However, it is important to emphasize that A-FiO₂ was only studied for 24 hours, even though most preterm infants remain oxygen-dependent for months. The extrapolation of the results to a long time frame does result in a significant and clinically relevant improvement in SpO_2 targeting.

The present study has some limitations. The efficacy of A-FiO₂ control was evaluated during a 48-hour study period, which may not be representative of the many weeks that most preterm infants require supplemental oxygen. Also, the nursing staff received specific guidelines to manually adjust the FiO₂ in response to hypoxemia and hyperoxemia, and they were not blinded to the mode of FiO₂ control and were aware of the recordings made for study purposes. For these reasons, the study conditions may not represent routine care and, instead, represent improved care. Finally, because of the cross-over design, the infants that were included in the analysis had to be clinically stable during the entire 48-hour study period. For this reason, 9 patients exited the study because including these infants in the analysis might obscure or augment the true treatment effect of A-FiO₂ control on SpO₂ targeting.

Despite these limitations, this study has important clinical implications. Based on the reported increase in mortality when targeting a lower SpO₂ range (85%-89%), it has recently been suggested to keep the SpO₂ within the relatively narrow range of 90%-95% in preterm infants with a gestational age less than 28 weeks.¹² However, the oxygen targeting trials also showed that infants only spent approximately 45% of the time within the target SpO₂ range of 91%-95% during M-FiO₂ control, whereas the time these infants spent in severe hyperoxemia (SpO₂ >98%) or hypoxemia (SpO₂ <80%) was nearly 4%. Using the same target range of 91%-95%, the present study shows that A-FiO₂ control maintained the SpO₂ within the target range 62% of the time while reducing the time in severe hypoxemia or hyperoxemia to less than 1%.

An important finding of this study is that tighter SpO₂ control during A-FiO₂ control can be achieved with significantly less workload for the nursing staff. The number of M-FiO₂ adjustments was dramatically reduced by almost 100%. This additional benefit of A-FiO₂ control may be especially important in clinical settings where staffing resources are limited.

This study confirmed that A-FiO₂ control is feasible and effective during mechanical ventilation but also documented its feasibility and efficacy in a large group of patients on noninvasive respiratory support, which nowadays is the most widely used mode of support in preterm infants. These findings are similar to those reported in a recent study where most infants were receiving noninvasive support.¹³ In conclusion, this study shows that A-FiO₂ control improves SpO₂ targeting across different SpO₂ target ranges and during different modes of respiratory support in preterm infants. Future studies need to investigate if these beneficial effects will also translate into improved clinical outcome. ■

We thank Leif Nelin, MD, Steve Donn, MD, and Rangasamy Ramanathan, MD, for serving on the Data Safety and Monitoring Board. We also thank nurses, respiratory therapists, neonatal fellows, and faculty for their contribution to the study. We express our gratitude to the parents of infants who participated in the study.

Submitted for publication Mar 1, 2015; last revision received May 18, 2015; accepted Jun 4, 2015.

References

1. SUPPORT Study Group of the Eunice Kennedy Shriver NICHD Neonatal Research Network Carlo WA, Finer NN, Walsh MC, Rich W, Gantz MG, et al. Target ranges of oxygen saturation in extremely preterm infants. *N Engl J Med* 2010;362:1959-69.
2. BOOST II United Kingdom Collaborative Group, BOOST II Australia Collaborative Group, BOOST II New Zealand Collaborative Group Stenson BJ, Tarnow-Mordi WO, Darlow BA, et al. Oxygen saturation and outcomes in preterm infants. *N Engl J Med* 2013;368:2094-104.
3. Hagadorn JI, Furey AM, Nghiem TH, Schmid CH, Phelps DL, Pillers DA, et al. Achieved versus intended pulse oximeter saturation in infants born less than 28 weeks' gestation: the AVIOx study. *Pediatrics* 2006;118:1574-82.
4. Laptook AR, Salhab W, Allen J, Saha S, Walsh M. Pulse oximetry in very low birth weight infants: can oxygen saturation be maintained in the desired range? *J Perinatol* 2006;26:337-41.
5. Claire N, Gerhardt T, Everett R, Musante G, Herrera C, Bancalari E. Closed-loop controlled inspired oxygen concentration for mechanically ventilated very low birth weight infants with frequent episodes of hypoxemia. *Pediatrics* 2001;107:1120-4.
6. Claire N, D'Ugard C, Bancalari E. Automated adjustment of inspired oxygen in preterm infants with frequent fluctuations in oxygenation: a pilot clinical trial. *J Pediatr* 2009;155:640-5.
7. Claire N, Bancalari E, D'Ugard C, Nelin L, Stein M, Ramanathan R, et al. Multicenter crossover study of automated control of inspired oxygen in ventilated preterm infants. *Pediatrics* 2011;127:e76-83.
8. Hallenberger A, Poets CF, Horn W, Seyfang A, Urschitz MS, CLAC Study Group. Closed-loop automatic oxygen control (CLAC) in preterm infants: a randomized controlled trial. *Pediatrics* 2014;133:e379-85.
9. Schmidt B, Whyte RK, Asztalos EV, Moddemann D, Poets C, Rabi Y, et al. Effects of targeting higher vs lower arterial oxygen saturations on death or disability in extremely preterm infants: a randomized clinical trial. *JAMA* 2013;309:2111-20.
10. Di Fiore JM, Walsh M, Wrage L, Rich W, Finer N, Carlo WA, et al. Low oxygen saturation target range is associated with increased incidence of intermittent hypoxemia. *J Pediatr* 2012;161:1047-52.
11. van Zanten HA, Tan RN, Thio M, de Man-van Ginkel JM, van Zwet EW, Lopriore E, et al. The risk for hyperoxaemia after apnoea, bradycardia and hypoxaemia in preterm infants. *Arch Dis Child Fetal Neonatal Ed* 2014;99:F269-73.
12. Saugstad OD, Aune D. In search of the optimal oxygen saturation for extremely low birth weight infants: a systematic review and meta-analysis. *Neonatology* 2011;100:1-8.
13. Waitz M, Schmid MB, Fuchs H, Mender MR, Dreyhaupt J, Hummler HD. Effects of Automated Adjustment of the Inspired Oxygen on Fluctuations of Arterial and Regional Cerebral Tissue Oxygenation in Preterm Infants with Frequent Desaturations. *J Pediatr* 2015;166:240-1.

Appendix. Guidelines for manual adjustment of FiO₂ to maintain target range of SpO₂

- a) If SpO₂ >target range
 - i. Reduce FiO₂ by 0.02-0.1 within 5 minutes or sooner if SpO₂ >98%.
 - ii. Repeat within 5 minutes as long as SpO₂ remains above target range or sooner if SpO₂ >98%.
- b) If SpO₂ <target range, assess the infant within 30-120 seconds and if required:
 - i. Increase FiO₂ by 0.05-0.20 manually using the FiO₂ dial or using the "Increase O₂" button in Avea (preset to 0.20). It is not necessary to increase FiO₂ if SpO₂ is trending up.
 - ii. Increase FiO₂ every 30-120 seconds according to response and as long as SpO₂ remains below the target range. It is not necessary to increase FiO₂ if SpO₂ is trending up.
 - iii. If SpO₂ <80%, assess the infant and increase FiO₂ by 0.20-0.40 or by a greater amount if bradycardia is concurrently observed.
 - iv. Continue to assess infant until SpO₂ returns to assigned range and when this occurs, return FiO₂ to the level before the decrease in SpO₂.
- c) Notify clinical attending team if there is a persistent increase in FiO₂ >0.30 from baseline over a 2 hours period needed to maintain the SpO₂ in the target range. If SpO₂ >target range, see a) above.

Table III. M-FiO₂ and A-FiO₂ control in infants receiving noninvasive respiratory support

	SpO ₂ target 89%-93% (n = 25)		SpO ₂ target 91%-95% (n = 25)	
	M-FiO ₂ control	A-FiO ₂ control	M-FiO ₂ control	A-FiO ₂ control
% time in SpO ₂ range	51 ± 14	60 ± 17 [§]	57 ± 16	61 ± 18 [¶]
% time >SpO ₂ range [†]	26 ± 10	24 ± 14	19 ± 8	21 ± 14
% time <SpO ₂ range	23 ± 7	17 ± 11 [§]	24 ± 9	18 ± 10 [§]
% time SpO ₂ >98% [†]	0.9 (0.2-1.5)	0.3 (0.1-0.8) [¶]	2.0 (0.8-4.6)	1.1 (0.2-4.5)
% time SpO ₂ <80% [†]	3.4 (1.7-4.3)	1.4 (0.4-2.0) [§]	2.0 (1.2-4.5)	0.9 (0.3-2.0) [§]
Episodes SpO ₂ >98%, >1 min (n/24 h) [†]	6 (1-10)	1 (0-6) [§]	12 (5-31)	4 (2-18)
Episodes SpO ₂ <80%, >1 min (n/24 h) [†]	15 (8-24)	6 (2-11) [§]	12 (4-23)	4 (1-11) [§]
Episodes SpO ₂ <70%, >1 min (n/24 h) [†]	2 (0-5)	1 (0-1) [¶]	2 (1-6)	0 (0-3) [¶]
SpO ₂ (%) [‡]	91.7 ± 0.8	92.1 ± 1.2	93.5 ± 1.1	93.9 ± 1.3
FiO ₂	0.28 ± 0.08	0.30 ± 0.09 ^{**}	0.31 ± 0.08	0.34 ± 0.11 [¶]

SpO₂ measured with pulse oximetry.*Periods with FiO₂ = 0.21 excluded.

†Median and IQR.

‡Mean of the hourly medians.

§P < .001 vs M-FiO₂.¶P < .01 vs M-FiO₂.**P < .05 vs M-FiO₂.

Table IV. M-FiO₂ and A-FiO₂ control in infants receiving invasive respiratory support

	SpO ₂ target 89%-93% (n = 15)		SpO ₂ target 91%-95% (n = 15)	
	M-FiO ₂ control	A-FiO ₂ control	M-FiO ₂ control	A-FiO ₂ control
% time in SpO ₂ range	58 ± 18	66 ± 16 [¶]	60 ± 15	63 ± 17
% time >SpO ₂ range*	23 ± 11	17 ± 10	19 ± 8	22 ± 13
% time <SpO ₂ range	18 ± 8	17 ± 11	21 ± 9	15 ± 9 [‡]
% time SpO ₂ >98% [†]	0.2 (0.1-2.7)	0.1 (0.0-0.9)**	0.7 (0.4-3.7)	0.6 (0.2-1.6)
% time SpO ₂ <80% [†]	0.8 (0.6-4.3)	0.4 (0.2-2.7)**	2.3 (0.7-6.2)	0.6 (0.3-2.5) [¶]
Episodes SpO ₂ >98%, >1 min (n/24 h) [†]	2 (0-18)	1 (0-4)**	6 (3-14)	4 (1-11)
Episodes SpO ₂ <80%, >1 min (n/24 h) [†]	7 (3-33)	3 (1-22)	13 (2-33)	3 (2-11) [¶]
Episodes SpO ₂ <70%, >1 min (n/24 h) [†]	1 (0-10)	0 (0-3)	2 (1-13)	1 (0-3) [¶]
SpO ₂ (%) [‡]	91.9 ± 0.9	91.6 ± 1.3	93.5 ± 8.2	94.0 ± 1.1**
FiO ₂	0.33 ± 0.10	0.33 ± 0.07	0.36 ± 0.11	0.36 ± 0.10

SpO₂ measured with pulse oximetry.*Periods with FiO₂ = 0.21 excluded.

†Median and IQR.

‡Mean of the hourly medians.

§P < .001 vs M-FiO₂.¶P < .01 vs M-FiO₂.**P < .05 vs M-FiO₂.